



Practitioner's Docket No. 701039-051500-C

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Snyder et al.
Application No.: 09/939,476 Group No.: 1636
Filed: August 23, 2001 Examiner: Loeb, Bronwen
For: ENGRAFTABLE NEURAL PROGENITOR AND STEM CELLS
FOR BRAIN TUMOR THERAPY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Amendment (10 pp.);
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Transmittal (1 pg.);
Petition for Extension of Time Under 37 CFR 1.136(a) (1 pg.);
Certificate of Mailing (1 pg.);
Limited Recognition Under 37 CFR §10.9(b) (1 pg.); and
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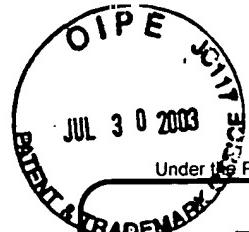
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Melissa Kruggel

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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1636/51

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/939,476

Filing Date

August 23, 2001

First Named Inventor

Snyder, E., et al.

Art Unit

1636

Examiner Name

B. Loeb

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Attorney Docket Number

701039-051500-C

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form \$465.00 <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply 10 pp. <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Replacement Sheet 13; 37 CFR §10.9(b); Certificate of Mailing and Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David S. Resnick (34,235)/Leena H. Karttunen (Limited Recognition Under 37 CFR §10.9(b)), Nixon Peabody LLP, 101 Federal Street, Boston, MA 02110
Signature	
Date	7/28/2003

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Typed or printed name	Melissa Kruggel		
Signature		Date	7/28/03

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FEES TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$ 465.00)
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Complete if Known	
Application Number	09/939,476
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First Named Inventor	Snyder, E., et al
Examiner Name	B. Loeb
Art Unit	1636
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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																							
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: charge fee deficiencies Deposit Account Number: 50-0850 Nixon Peabody LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>Fee Code (\$)</td><td>Fee Code (\$)</td></tr> <tr><td>1052</td><td>50</td><td>2051 65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>1053</td><td>130</td><td>2052 25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>1812</td><td>2,520</td><td>1053 130</td><td>Non-English specification</td></tr> <tr><td>1804</td><td>920*</td><td>1812 2,520</td><td>For filing a request for ex parte reexamination</td></tr> <tr><td>1805</td><td>1,840*</td><td>1804 920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>1251</td><td>110</td><td>1805 1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>1252</td><td>410</td><td>2251 55</td><td>Extension for reply within first month</td></tr> <tr><td>1253</td><td>930</td><td>2252 205</td><td>Extension for reply within second month</td></tr> <tr><td>1254</td><td>1,450</td><td>2253 465</td><td>Extension for reply within third month</td></tr> <tr><td>1255</td><td>1,970</td><td>2254 725</td><td>Extension for reply within fourth month</td></tr> <tr><td>1401</td><td>320</td><td>2255 985</td><td>Extension for reply within fifth month</td></tr> <tr><td>1402</td><td>320</td><td>2401 160</td><td>Notice of Appeal</td></tr> <tr><td>1403</td><td>280</td><td>2402 160</td><td>Filing brief in support of an appeal</td></tr> <tr><td>1451</td><td>1,510</td><td>2403 140</td><td>Request for oral hearing</td></tr> <tr><td>1452</td><td>110</td><td>1451 1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>1453</td><td>1,300</td><td>2452 55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>1501</td><td>1,300</td><td>2453 650</td><td>Petition to revive - unintentional</td></tr> <tr><td>1502</td><td>470</td><td>2501 650</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>1503</td><td>630</td><td>2502 235</td><td>Design issue fee</td></tr> <tr><td>1460</td><td>130</td><td>2503 315</td><td>Plant issue fee</td></tr> <tr><td>1807</td><td>50</td><td>1460 130</td><td>Petitions to the Commissioner</td></tr> <tr><td>1806</td><td>180</td><td>1807 50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>8021</td><td>40</td><td>1806 180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>1809</td><td>750</td><td>8021 40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>1810</td><td>750</td><td>2809 375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>1801</td><td>750</td><td>2810 375</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>1802</td><td>900</td><td>2801 375</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td></td><td></td><td>1802 900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right;">**or number previously paid, if greater; 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*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

465.00

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	David S. Resnick/Leena H. Karttunen	Registration No. (Attorney/Agent)	14,235/37 CFR §10.9(b)
Signature			
Telephone	617-345-6057/1367		
Date	July 28, 2003		

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**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Leena Karttunen is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Nixon Peabody LLP, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Nixon Peabody LLP, and a registered practitioner, who is a member of the law firm of Nixon Peabody LLP, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Leena Karttunen ceases to lawfully reside in the United States, (ii) Leena Karttunen's employment with the law firm of Nixon Peabody LLP, ceases or is terminated, or (iii) Leena Karttunen ceases to remain or reside in the United States on an H-1B visa.

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